

Calculation of Amount to be Distributed to Investors in Partial Repayment of Surrender Charges Incurred

1 Counters	2 SIPC claim number	3 Investor name	4 Surrender charges	5 Surrender charges in column 4 as percent of total surrender charges 2.47 %	6 Percent in column 5 times total funds to be distributed (\$670,500.00) for amount to be distributed to investor \$16,561.35 6,705.00
1/1	2669	Adolph, Robert	\$19,278.04		
2/2	2604	Arand, Arthur J.	7,822.08	1.00	
3	2564	Balanda, Eleanor			
4/3	981	Balzano, Tony J.	7,599.04	0.97	6,503.85
5/4	384	Barden, Tom P.	39,716.58	5.09	34,128.45
6	1591	Bauknecht, Ewald			
7/5	2238	Berg, Bernard & Shirley	14,396.20	1.84	12,337.20
8/6	2788	Blazer, Kathy	82.82	0.01	67.05
9/7	1710	Bloomfield, Saul & Ellen	8,433.95	1.08	7,241.40
10	2787	Blum, Bruce			
11/8	2753	Blum, Wilma, J.	21,871.02	2.80	18,774.00
12	2782	Bolinger, Scott			
13/9	2772	Braucher, Dorothy	2,891.14	0.37	2,480.85
14/10	1954	Brock, Lawrence & Bonnie	18,273.08	2.34	15,689.70
15/11	2785	Burden, David & Debbie	476.42	0.06	402.30
16/12	1696	Bushman, Donald	1,923.22	0.25	1,676.25
17/13	2778	Callison, Cornelia	4,658.24	0.60	4,023.00
18/14	2518	Carle, Jerome & Colleen	1,925.36	0.25	1,676.25
19/15	2759	Cavallo, Tito	6,773.04	0.87	5,833.35
20/16	397	Chadwell, William & Margaret	2,970.46	0.38	2,547.90
21/17	1092	Daley, William C	4,862.25	0.62	4,157.10
22/18	796	Denton, Janice	16,996.17	2.18	14,616.90
23/19	6873	Denzler, Alan & Fran	3,990.92	0.51	3,419.55
24/20	2779	DeSalvo, Barbara	20,453.29	2.62	17,567.10
25	2247	Dine, Mark & Esther			
26/21	2758	Endres, Deborah	141.96	0.02	134.10
27/22	382	Fabe, Stuart	1,825.23	0.23	1,542.15

EXHIBIT A

EXHIBIT A

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28/23	348	Fabe, Susan	1,613.62	0.21	\$1,408.05
29/24	720	Falberg, Warren & Irene	4,567.73	0.59	3,955.95
30/25	2678	Faust, John F & Jean Alice (Trust)	2,854.16	0.37	2,480.85
31/26	2478	Fix-Meyer, Mary	2,405.94	0.31	2,078.55
32/27	2784	Flessa, Herbert	5,537.59	0.71	4,760.55
33/28	1262	Flehmman, Benjamin	4,718.77	0.60	4,023.00
34/29	1445	Flinchbaugh, Robert	509.00	0.07	469.35
35/30	2757	Gianella, Ralph	3,694.34	0.47	3,151.35
36/31	472	Giliberto, Richard & Barbara	2,439.90	0.31	2,078.55
37/32	2781	Goldfinger, Delores	6,718.03	0.86	5,766.30
38/33	2766	Gottschalk, Alfred & Deanna	2,764.85	0.35	2,346.75
39	1001	Grieder, Doris			
40/34	158	Grossheim, Elmer R.	1,127.57	0.14	938.70
41/35	1899	Grzelecki, Arthur & Marci	9,412.86	1.21	8,113.05
42/36	312	Gueterman, Donald R. & Kay	479.95	0.06	402.30
43	651	Gustafson, Donald			
44/37	1993	Harris, Jo Ellen	6,529.68	0.84	5,632.20
45/38	800	Harrison, Donald	25,698.98	3.29	22,059.45
46/39	2767	Hastings, Robert & Mildred	8,628.63	1.11	7,442.55
47/40	779	Hauck, Robert & Sue	6,913.71	0.88	5,900.40
48/41	2754	Hettel, Virginia L	2,056.75	0.26	1,743.30
49	740	Highsmith, Robert & Martha			
50/42	588	Hoffman, Robert	2,282.65	0.29	1,944.45
51/43	2056	Hollenbeck, Maureen	2,462.10	0.31	2,078.55
52/44	2679	Hornstein, Stephen	7,655.28	0.98	6,570.90
53/45	490	Hummel, Robert	981.00	0.13	871.65
54/46	2765	Isaacs, Jack & Majorie	2,909.44	0.37	2,480.85
55	2776	Jaspers, Raymond			

EXHIBIT A

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56/47	2749	Jett, Nesta A.	1,310.43	0.17	1,139.85
57/48	2143	Juengst, Alice P.	5,526.54	0.71	4,760.55
58/49	2141	Juengst, George	3,760.83	0.48	3,218.40
59/50	559	Kaiser, Marilyn	5,887.49	0.75	5,028.75
60/51	111	Kandelson, Mildred	2,821.17	0.36	2,413.80
61/52	2566	Katz, Robert & Miriam	13,585.99	1.74	11,666.70
62/53	2568	Kay, Jerald (as representative)	1,715.86	0.22	1,475.10
63	2723	Kay, Rena (as representative)			
64/54	1323	Kehoe, Doris	3,481.05	0.44	2,950.20
65/55	6800	Keith, Robert & Thornton, Kathleen	14,834.96	1.90	12,739.50
66/56	801	Kiger, Thomas	1,385.00	0.18	1,206.90
67	482	Kirch, Robert			
68/57	2752	Knapp, James & Anabelle	3,467.50	0.44	2,950.20
69/58	2770	Knapp, Richard & Nancy	1,799.51	0.23	1,542.15
70/59	2760	Kupferberg, Bill (Cyrill)	1,909.48	0.25	1,676.25
71/60	2755	Lambert, Maria Aurora	1,620.89	0.21	1,408.05
72	368	Lape, Daniel			
73/61	886	Lape, James & Laura	1,439.49	0.18	1,206.90
74	2769	Leach, Sandra			
75/62	2517	Lebowitz, Minette	1,946.16	0.25	1,676.25
76/63	1393	Lockwood, Carol	2,383.16	0.31	2,078.55
77/64	362	Long, James P.	979.05	0.13	871.65
78/65	527	Luke, Robert			
79/66	377	Lykins, Janet	8,122.25	1.04	6,973.20
80	2148	Margolin, Esar Gordon	22,002.48	2.82	18,908.10
81	518	Marshall, George			
82	2245	Martin, Geraldine			
83/67	1442	Matson, James	1,672.68	0.21	1,408.05

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84/68	2049	Mays, Margaret A	4,605.68	0.59	3,955.95
85/69	1306	McGinnis, Don	21,802.99	2.79	18,706.95
86	1675	McHugh, Estelle			
87/70	2780	Merchant, Virginia	2,088.07	0.27	1,810.35
88/71	505	Michaelson, I. Arthur	976.07	0.13	871.65
89/72	604	Middleton, Dale H.	6,288.51	0.81	5,431.05
90	2750	Minson, Ida			
91/73	323	Morgan, Joseph	1,509.79	0.19	1,273.95
92/74	2748	Moore, Joyce & Brian	7,529.90	0.97	6,503.85
93/75	1691	Niemeyer, Richard & Karen	6,358.96	0.82	5,498.10
94/76	950	Nordlund, James & Mary	3,798.25	0.49	3,285.45
95/77	1864	O'Dwyer, Sean	4,698.38	0.60	4,023.00
96	2364	Ornstein, Miriam			
97/78	2276	Ornstein, Paul & Anna	31,603.75	4.05	27,155.25
98	2446	Ornstein, Rafael & Sherry, Susannah			
99/79	2775	Otten, Edward & Kathleen	21,845.21	2.80	18,774.00
100/80	2751	Otto, Harvey	10,899.52	1.40	9,387.00
101/81	1187	Pawlicki, Robert	7,942.11	1.02	6,839.10
102	6466	Peter, Marsha			
103/82	2024	Preiser, Wolfgang & Cecelia	3,685.14	0.47	3,151.35
104/83	1960	Preklas, John & Helen	5,166.21	0.66	4,425.30
105/84	2694	Puthoff, Leonard & Kathleen	801.64	0.10	670.50
106/85	2783	Rapin, Lynn & Conyne, Robert	7,299.54	0.94	6,302.70
107	2792	Recker, William			
108/86	2189	Rohr, Gerge	775.00	0.10	670.50
109/87	304	Rowe, Kenneth & Bonnie McNeely	26,349.49	3.38	22,662.90

EXHIBIT A

EXHIBIT A

EXHIBIT A

5

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110/88	2756	Rubin, Stanley & Carol	3,940.27	0.51	3,419.55
111/89	2762	Rush, Solvegia	5,022.88	0.64	4,291.20
112/90	1190	Scarborough, Gail	13,292.60	1.70	11,398.50
113/91	548	Scheidt, John	4,047.25	0.52	3,486.60
114/92	1403	Schmeltzer, George	4,362.20	0.56	3,754.80
115	2761	Scott, Elizabeth M.			
116/94	1959	Shear, Donald & Edith	4,480.10	0.57	3,821.85
117/95	*	Shely-Kotcher, Elizabeth	892.00	0.11	737.55
118/96	507	Siebert Mary	1,737.16	0.22	1,475.10
119/97	583	Siereveld, Ralph & Juanita	5,914.14	0.76	5,095.80
120/98	1138	Startz, Ann & Morton	1,127.28	0.14	938.70
121/99	2460	Stutz, Robert & Rochelle	46,492.30	5.96	39,961.80
122/100	593	Tafari, John	16,038.34	2.05	13,745.25
123	1173	Taylor, Byron J			
124/101	2475	Victor, Selma	14,457.33	1.85	12,404.25
125/102	2377	Vidourek, Jacqueline	335.14	0.04	286.20
126/103	791	Vincent, Sharon	1,509.42	0.19	1,273.95
127	6528	Wagner, Thomas J. & Virginia			
128/104	2789	Warner, Victor & Ann M.	24,596.95	3.15	21,120.75
129/105	2771	Weber, Margerite	2,952.00	0.37	2,480.85
130/106	1978	Widlansky, Bernard	9,518.95	1.22	8,180.10
131/107	1965	Widlansky, William & Frances	13,079.70	1.68	11,264.40
132/108	724	Wolf, Mary K.	5,126.32	0.66	4,425.30
133/109	350	Zinn, Charlene	1,743.52	0.22	1,475.10
		TOTALS	\$780,665.07	100.00	\$668,707.65

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EXHIBIT A